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10/552087

PATENT
Customer No. 22,852
Attorney Docket No. 08358-0005.00000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
STEFFEN GOLETZ, et al.) Group Art Unit: Not Yet Assigned
)
Application No.: ~~10/552,087~~ ^{10/552,087}) Examiner: Not Yet Assigned
)
Filed: January 21, 2005)
)
For: METHOD FOR THE) Confirmation No.: Not Yet Assigned
PRODUCTION OF AN)
IMMUNOSTIMULATING MUCIN)
(MUC1)
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the documents on the attached listing. This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application.

Copies of the listed foreign and non-patent literature documents are attached.
Copies of the U.S. patent publications are not enclosed.

Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

English translations of the abstracts from the German patent documents are enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

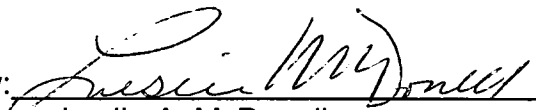
If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

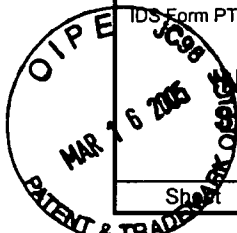
Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: March 14, 2005

By:


Leslie A. McDonell
Reg. No. 34,872



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| IDS Form PTO/SB/08: Substitute for form 1449A/PTO | | Complete if Known | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) | | Application Number | 10/552,087 1052208 |
| | | Filing Date | January 21, 2005 |
| | | First Named Inventor | Steffen Goletz |
| | | Art Unit | Not Yet Assigned |
| | | Examiner Name | Not Yet Assigned |
| Sheet | 1 of 1 | Attorney Docket Number | 08358-0005-00000 |

| U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS | | | | | |
|---|-----------------------|--|---|---|---|
| Examiner Initials | Cite No. ¹ | Document Number | Issue or Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Number-Kind Code ² (if known) | | | |
| | | US- | | | |

Note: Copies of the U.S. Patent Documents are not Required in IDS filed after October 21, 2004

| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|-----------------------|---|--------------------------------|---|---|--------------------------|
| Examiner Initials | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | Translation ⁶ |
| | | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | | | | |
| abstract only | | DE 195 34 630 A1 | 03/20/97 | Dr. Uwe Karsten, et al. | | |
| abstract only | | DE 100 27 695 A1 | 04/19/01 | Dr. Steffen Goletz, et al. | | |
| /H.S./ | | WO 99/34824 | 07/15/99 | | | |

| NON PATENT LITERATURE DOCUMENTS | | | |
|---------------------------------|-----------------------|---|--------------------------|
| Examiner Initials | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | Translation ⁶ |
| /H.S./ | | Copy of International Search Report for PCT/EP03/08014 | |
| /H.S./ | | BURCHELL, JOY, et al., "A Short Sequence, within the Amino Acid Tandem Repeat of a Cancer-Associated Mucin, Contains Immunodominant Epitopes", <i>Int. J. Cancer</i> , 44, pages 691-696 (1989). | |
| /H.S./ | | KARSTEN, UWE, et al. "Enhanced Binding of Antibodies to the DTR Motif of MUC1 Tandem Repeat Peptide is Mediated by Site-specific Glycosylation", <i>Cancer Research</i> 58, pages 2541-2549 (1998). | |
| /H.S./ | | NORUM LARS F., et al., "Carcinoma-Associated MUC1 Detected by Immunoradiometric Assays", <i>Tumor Biol</i> , 19(suppl 1):134-146 (1998). | |
| /H.S./ | | RYUKO, KANJI, et al., "Characterization of a New MUC1 Monoclonal Antibody (VU-2-G7) Directed to the Glycosylated PDTR Sequence of MUC1", <i>Tumor Biol</i> , 21:197-210 (1999) 2000 | |
| /H.S./ | | VON MENSdorFF-POUILLY, SILVIA, et al., "Reactivity of Natural and Induced Human Antibodies to MUC1 Mucin with MUC1 Peptides and N-Acetylgalactosamine (GalNAc) Peptides," <i>Int. J. Cancer</i> 86, pages 702-712 (2000). | |

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|--------------------|-------------|-----------------|------------|
| Examiner Signature | /Hong Sang/ | Date Considered | 10/21/2008 |
|--------------------|-------------|-----------------|------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.